

OCT 12 2006

BLAKELY SOKOLOFF TAYLOR & ZAFMAN

A LIMITED LIABILITY PARTNERSHIP INCLUDING LAW CORPORATIONS

TELEPHONE (408) 720-8300

FACSIMILE (408) 720-8303

BSTZ_MAIL@BSTZ.COM
WWW.BSTZ.COM

INTELLECTUAL PROPERTY LAW

SILICON VALLEY

1279 OAKMEAD PARKWAY
SUNNYVALE, CALIFORNIA 94085-4040

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Fax Number: 571-273-8300

From: Jordan M. Becker

Date: 10/10/06

Operator: Chris Kunold

Matter: 5693P035

Number of pages including cover sheet: 5

RE:

App. No.: 10/774,214

Filing Date: 2/5/2004

Inventors: M. SHAH et al.

Art Unit: 2183

Examiner: Not Yet Assigned

Enclosed please find:

1. Transmittal (1 page); and
2. Information Disclosure Statement (2 pages); and
3. PTO Form 1449 (1 page).

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PATENT

TRANSMITTAL

Application No.: 10/774,214
 Filing Date: 2/5/2004
 First Named Inventor: Mehul S. SHAH
 Examiner's Name: Not Yet Assigned
 Art Unit: 2183
 Attorney Docket No.: 5663P035

- ☐ An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.
☒ Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.
☒ Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.
☐ Applicant(s) claim small entity status (37 CFR 1.27).

ATTACHMENTS

- ☐ Preliminary Amendment
☐ Amendment/Response with respect to Office Action
☐ Amendment/Response After Final Action (37 CFR 1.116) (reminder: consider filing a Notice of Appeal)
☐ Notice of Appeal
☐ RCE (Request for Continued Examination)
☐ Supplemental Declaration
☐ Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)
☒ Information Disclosure Statement (IDS)
☐ Copies of IDS citations
☐ Petition for Extension of Time
☐ Fee Transmittal Document (that includes a fee calculation based on the type and number of claims)
☐ Cross-Reference to Related Application(s)
☐ Certified Copy of Priority Document
☒ Other: PTQ 1449 form
☐ Other: _____
☐ Check(s)
☐ Postcard (Return Receipt)

SUBMITTED BY:

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

TYPED OR PRINTED NAME: Jordan M. Becker

SIGNATURE: 

REG. NO.: 39,992

DATE: October 12, 2006


ADDRESS: 12400 Wilshire Boulevard, Seventh Floor

Los Angeles, California 90025

TELEPHONE NO.: (408) 720-9300

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(10/14/03)

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Attorney Docket No.: 5693.P035

OCT 12 2006

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

Mehul S. SHAH et al.

Serial No.: 10/774,214

Filing Date: 2/5/2004

For: PROXIMITY BASED LOSSLESS
COMPRESSION OF CLIENT
INFORMATION IN A NETWORK
DEVICEMail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Examiner: Not Yet Assigned

Group Art Unit: 2183

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on:*

October 12, 2006

(Date of Deposit)

Chris Kunold

(Printed name)

Chris Kunold

(Signature)

(Date)

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability or constitutes prior art with respect to the present invention.

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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):


- ☒ 37 C.F.R. § 1.97(b).
- ☐ 37 C.F.R. § 1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- ☐ A statement pursuant to 37 C.F.R. § 1.97(e) or
- ☐ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- ☐ 37 C.F.R. § 1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. § 1.97(e); and
 - (2) A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: October 12, 2006


Jordan M. Becker
Reg. No. 39,602

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025
(408) 720-8300

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|--|-----------------------|--|-----------|--------------------------------|--|--|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | | | Application Number | 10/774,214 | |
| | | | | Filing Date | 2/5/2004 | |
| | | | | First Named Inventor | Mehul S. SHAH | |
| | | | | Art Unit | 2183 | |
| | | | | Examiner Name | Not Yet Assigned | |
| Sheet | | of | | Attorney Docket Number | 5693P035 | |
| U.S. PATENT DOCUMENTS | | | | | | |
| Examiner Initials* | Cite No. ¹ | Document Number | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | | | | |
| /A.T.J. | | US- Un- | 5,774,715 | 06-30-1998 | Madany | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T* |
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| Examiner Signature | /Anhtai Tran/ | Date Considered | 03/05/2008 |
|--------------------|---------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language translation is utilized.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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Based on Form PTO/SB/06A (09-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.